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04-02-01

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

MPT-001

Total Pages

36

First Inventor or Application Identifier

Bryan G. Yamamoto

Title

DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE

Express Mail Label No.

EL597198639US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant Claims small entity status.
See 37 C.F.R. § 1.27.
3. ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed-Sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 7]
5. ☒ Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Application Data Sheet. See 37 C.F.R. § 1.76

7. ☒ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Transmittal Of CD-ROM; and Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. § 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____/

Prior application information: Examiner _____

Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

0 2 2 8 8 8

or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

Country

Phone

+1 (408)451-5904

FAX

+1 (408)451-5908

Name (Print Type)

Edward S. Mao

Registration No. (Attorney/Agent)

40,713

Signature

Edward S. Mao

Date

03/30/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<div>03/30/01</div> <div>96 S. PTO</div> <div>FEE TRANSMITTAL</div> <div>for FY 2001</div> <div>Patent fees are subject to annual revision.</div>		Complete if Known	
		Application Number	
		Filing Date	03/30/2001
		First Named Inventor	Bryan G. Yamamoto
		Examiner Name	
Group/Art Unit			
TOTAL AMOUNT OF PAYMENT		(\$ 440.00)	
Attorney Docket Number		MPT-001	
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES	
Deposit Account Number: 50-0574 (Docket No. MPT-001)		Large Fee Code Entity Fee (\$)	
Deposit Account Name: Bever, Hoffman & Harms		Small Fee Code Entity Fee (\$)	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		Fee Description	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27		Fee Paid	
2. <input checked="" type="checkbox"/> Payment Enclosed:		105 130 205 65 Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
FEE CALCULATION		139 130 139 130 Non-English specification	
BASIC FILING FEE		147 2,520 147 2,520 For filing a request for ex parte reexamination	
Large Fee Code Entity Fee (\$)		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
Small Fee Code Entity Fee (\$)		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Fee Description		115 110 215 55 Extension for replay within first month	
Fee Paid		116 390 216 195 Extension for reply within second month	
#01 710 201 355 Utility filing fee 355.00		117 890 217 445 Extension for reply within third month	
#06 320 206 160 Design filing fee		118 1,390 218 695 Extension for reply within fourth month	
#07 490 207 245 Plant filing fee		128 1,890 228 945 Extension for reply within fifth month	
#08 710 208 355 Reissue filing fee		119 310 219 155 Notice of Appeal	
#14 150 214 75 Provisional filing fee		120 310 220 155 Filing a brief in support of an appeal	
SUBTOTAL (1) (\$ 355.00)		121 270 221 135 Request for oral hearing	
EXTRA CLAIM FEES		138 1,510 138 1,510 Petition to institute a public use proceeding	
Total Claims 25 -20** = 5 x 9.00 = 45.00		140 110 240 55 Petition to revive - unavoidable	
Independent Claims 2 -3** = 0 x 18.00 = 00.00		141 1,240 241 620 Petition to revive - unintentional	
Multiple Dependent = 00.00		142 1,240 242 620 Utility issue fee (or reissue)	
**or number previously paid, if greater; For Reissues, see below		143 440 243 220 Design issue fee	
Large Fee Code Entity Fee (\$)		144 600 244 300 Plant issue fee	
Small Fee Code Entity Fee (\$)		122 130 122 130 Petitions to the Commissioner	
Fee Description		123 50 123 50 Petitions related to provisional applications	
103 18 203 9 Claims in excess of 20		126 180 126 180 Submission of Information Disclosure Stmt	
102 80 202 40 Independent claims in excess of 3		581 40 581 40 Recording each patent assignment per property (times number of properties) 40.00	
104 270 204 135 Multiple dependent claim, if not paid		146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a))	
109 80 209 40 **Reissue independent claims over original patent		149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b))	
110 18 210 9 **Reissue claims in excess of 20 and over original patent		179 710 279 355 Request for Continued Examination (RCE)	
SUBTOTAL (2) (\$ 45.00)		169 900 169 900 Request for expedited examination of a design application	
SUBMITTED BY		Other fee (Specify)	
Name (Print/Type) Edward S. Mao		* Reduced by Basic Filing Fee Paid	
Registration No. (Attorney/Agent) 40.713		SUBTOTAL (3) (\$ 40.00)	
Signature Edward Mao		Complete (if applicable)	
Telephone (408) 451-5904		Date 03/30/2001	

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i) [Non-Publication Request]	First Inventor	Bryan G. Yamamoto
	Title	DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE
	Attorney Docket	MPT-001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

March 30, 2001
Date

Edward Mao
Edward S. Mao, Reg. No. 40,713

This request must be signed in compliance with 37 C.F.R. § 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. § 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. § 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 C.F.R. § 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. § 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. § 122 and 37 C.F.R. § 1.14. This form is estimated to take 6 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Atty. Docket No.: MPT-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Bryan G. Yamamoto
Application No.: Filed Herewith
Filing Date: 03/30/2001
Title: "DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE"

Date: March 30, 2001

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington D.C. 20231

TRANSMITTAL OF CD ROM

Transmitted with the new application identified above is a CD-ROM (2 identical copies) containing:

computer program listing
☒ IBM-PC formatted
☒ MS-Windows operating system

A list of files contained on the CD-ROM is as follows:

Name: toc.js (JScript File)
File Size: 15.3KB (15,718 bytes)
Size on Disk: 16KB (16,384 bytes)
Creation Date: 03/27/2001 (copied to CD-ROM on 03/30/2001)

Edward Mao

SIGNATURE OF PRACTITIONER

Edward S. Mao

Reg. No. 40,713

Tel. No.: (408) 451-5904
Fax No.: (408) 451-5908
Customer No.: 22888

BEVER, HOFFMAN & HARMS, LLP
2099 Gateway Place, Suite 320
San Jose, CA 95110-1017

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL, airmail, No. EL597198639US, in an envelope addressed to: Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C., 20231-0001, on 03/30/2001.

Date: 3-30-2001 Signature: Carrie Reddick